

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

SEC/Enforcement/2022/2013/111

Dated: April 13, 2017

By Courier Service

Nexus Securities Limited, Room # 6/A, 4 & 5 (3rd & 8th floor), Akram Tower, 199 Shahid Syed Nazrul Islam Sarani (15/5, Bijoy Nagar), Dhaka - 1000

Attention: Managing Director/CEO

Sub: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to BSEC's show cause-cum-hearing notice no. SEC/Enforcement/2022/2013/20 dated January 10, 2017 and your reply letter dated January 22, 2017.

The Commission, considering the explanation vide letter dated January 22, 2017 submitted at the time of hearing, has decided to dispose off the proceedings against you.

Please note that this disposal does not absolve you or any of its directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission

Md. Hossain Khan

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC Executive Director (SRMIC), BSEC Executive Director (Law), BSEC Executive Director (MIS), BSEC Chairman's Office