

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2713/2019/1287  
Dated: October 12, 2022

By Special Messenger

Nizam's Shares and Securities Limited  
(CSE TREC No. 029)  
Ample Tower (6th Floor), 2315 Sk. Mujib Road  
Agrabad C/A, Chattogram.

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2713/2019/1046 dated August 03, 2022 based on which the hearing was conducted on August 21, 2022.

The Commission, considering your explanation vide letter dated 21.08.2022 has decided to dispose of the proceedings against Nizam's Shares and Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*Rubel*  
12-10-22

Rubel Hossain  
Assistant Director (Enforcement)  
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Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.