

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2945/2020/279  
Dated: February 10, 2022

By Special Messenger

North West Securities Limited  
(CSE TREC No. 019)  
Aktharuzzaman Center (6th Floor)  
21-22 Agrabad Commercial Area, Chattogram.


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2945/2020/1311 dated December 30, 2021 based on which the hearing was conducted on February 07, 2022.

The Commission, considering your explanation vide letter dated 07.02.2022 has decided to dispose of the proceedings against North West Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
18-02-2022

Rubel Hossain  
Assistant Director (Enforcement)  
E-mail: rubel@sec.gov.bd.  
Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.