



BSEC/Enforcement/3075/2021/454 641
Dated: September 13, 2021

By Special Messenger

Nouvelle Securities Limited
(DSE TREC holder no. 112)
Room-719 ,9/F, DSC
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

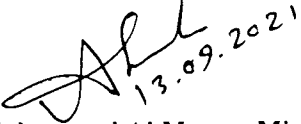
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3075/2021/454 dated August 11, 2021 based on which the hearing was conducted on 23.08.2021.

The Commission, considering your explanation vide letter ref No. BSEC/01/2021 dated 23 August, 2021 has decided to dispose of the proceedings against Nouvelle Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Ltd.

M/F