



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3328/2022/1274**

**By Special Messenger**

October 03, 2022

Oshadhi Securities Limited  
(DSE TREC No. 208)  
Room # 704 & 705 (6th Floor)  
Modhumita Building, 158-160  
Motijheel C/A, Dhaka-1000

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3328/2022/940 dated June 26, 2022 based on which the hearing was conducted on July 17, 2022.

The Commission, considering your explanation Ref: OSL/T-208/4005/22 dated July 17, 2022 has decided to dispose of the proceedings against Oshadhi Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*

03.10.22

**Mahmuda Shireen**

Joint Director (Enforcement)

E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited