

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3049/2021/347

By Special Messenger

Dated: February 23, 2022

Oshadhi Securities Ltd.  
(DSE TREC No.208)  
Room # 704 & 705 (6th Floor), Modhumita Building  
158-160, Motijheel C/A, Dhaka-1000

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3049/2021/403 dated June 13, 2021 and subsequent letter No. BSEC/Enforcement/3049/2021/895 dated October 25, 2021, based on which the hearing was conducted on November 08, 2021.

The Commission, considering your explanation vides letters dated December 12, 2021 and February 09, 2022 has decided to dispose of the proceedings against Oshadhi Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen  
23.02.22

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

28.02.2022  
DD-I (MIS)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited