

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3501/2023/200

Dated: February 28, 2024

01. **PHP Stock & Securities Limited (DSE TREC # 235)**, PHP Centre (Ground Floor), 40/1, Zakir Hossain Road, South Khulshi, Chittagong.
02. **Mr. Mohammed Akther Parvez Chowdhury**, Managing Director & Compliance Authority of PHP Stock & Securities Limited, PHP Centre (Ground Floor), 40/1, Zakir Hossain Road, South Khulshi, Chittagong.
03. **Mr. Mohammed Emtiaz**, CEO of PHP Stock & Securities Limited, PHP Centre (Ground Floor), 40/1, Zakir Hossain Road, South Khulshi, Chittagong.
04. **Mr. Md. Abu Kaiser**, Compliance Officer of Head Office of PHP Stock & Securities Limited, PHP Centre (Ground Floor), 40/1, Zakir Hossain Road, South Khulshi, Chittagong.
05. **Mr. Shihab Ahmed**, Nikunja Branch In-Charge of Head Office of PHP Stock & Securities Limited, PHP Centre (Ground Floor), 40/1, Zakir Hossain Road, South Khulshi, Chittagong.
06. **Mr. Md. Tanvir Ahmed**, Nikunja Branch Compliance Officer of PHP Stock & Securities Limited, PHP Centre (Ground Floor), 40/1, Zakir Hossain Road, South Khulshi, Chittagong.
07. **Mr. Md. Arif Hossain**, Employee and Trader of PHP Stocks & Securities Limited, PHP Centre (Ground Floor), 40/1, Zakir Hossain Road, South Khulshi, Chittagong.

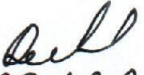
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3501/2023/136 dated 11 February 2024 based on which the hearing was conducted on 18 February 2024.

The Commission, considering your explanation vide letter ref. nill dated 18.02.2024 has decided to dispose of the proceedings against you/your's by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


28-02-2024
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/4606 dated 17th August 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited.