

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3087/2021/৪২৭
Dated: October 21, 2021

By Special Messenger

PIONEER SHARES & SECURITIES LTD.
(CSE TREC No. 034)
Elias Brothers Market
171/A, Momin Road (2nd floor)
Anderkilla,
Chattogram.

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3087/2021/591 dated September 1, 2021 based on which the hearing was conducted on 20.09.2021.

The Commission, considering your explanation vide letter dated 16th September, 2021 has decided to dispose of the proceedings against PIONEER SHARES & SECURITIES LTD. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


21.10.2021
Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Ltd