

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3087/2021/৪২৫

Dated: October 21, 2021

By Special Messenger

PLATINUM SECURITIES LTD.
(CSE TREC No. 064)
Asia Nibas (1st Floor)
35 Uttar Dhanmondi
Kalabagan, Dhaka-1205

Attention: Managing Director/CEO

07 NOV 2021

D/MIS


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3087/2021/595 dated September 1, 2021 based on which the hearing was conducted on 20.09.2021.

The Commission, considering your explanation vide letter dated 19th September, 2021 has decided to dispose of the proceedings against PLATINUM SECURITIES LTD. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


21.10.2021

Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Ltd