

Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/3083/2021/832

Dated: October 07, 2021

By Special Messenger

Pubali Bank Securities Limited
(CSE TREC No. 105)
A-A Bhaban (7th Floor),
23 Motijheel C/A, Dhaka-1000.

21 OCT 2021
DMIS

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No BSEC/Enforcement/3083/2021/547 dated August 19, 2021.

The Commission, considering the letter of CSE vide ref. No. CSE/RAD/THA/CCBA/BSEC/2021/312 dated August 25, 2021 has decided to dispose of the matter of Pubali Bank Securities Limited.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Al Masum Mirdha
07.10.2021

Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.