

## Bangladesh Securities and Exchange Commission

**BSEC/Enforcement/3277/2022/532**

**By Special Messenger**

April 03, 2022

Padma Bank Securities Limited  
(DSE TREC holder no.247)  
Rangs Hai Legacy (5th Floor)  
Plot # 13, Sohrawardi Avenue  
Block-K, Baridhara Model Town  
Dhaka-1212

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3277/2022/476 dated March 20, 2022 based on which the hearing was conducted on March 28, 2022.

The Commission, considering your explanation vide letter Ref: PBSL/HO/BSEC/2022/2403 dated March 28, 2022 has decided to dispose of the proceedings against Padma Bank Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*  
03.04.22

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited