

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

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29.03.26
Mr. Yousin, AD

BSEC/Enforcement/3424/2023/181

By Special Messenger

March 29, 2026

Parom Securities Limited (TREC no. CSE 131)
Rahman Chamber 2nd floor, Room no#301,
12-13, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3424/2023/291 dated April 04, 2023 based on which the hearing was conducted on May 07, 2023.

The Commission, considering your explanations vide letters Ref: PSL/2023/05 dated May 07, 2023; Ref: PSL/2023/11 dated May 15, 2023 and Ref: Nill dated February 22, 2026 has decided to dispose of the proceedings against Parom Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
29.03.26

Mahmuda Shireen
Joint Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. Chairman Office, BSEC
2. P.O. to all Commissioner, BSEC
3. P.O. to Executive Director (MIAD), BSEC
- ✓ 4. P.O. to Executive Director (ICTD), BSEC
5. Managing Director, Chittagong Stock Exchange PLC.