

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3236/2021/280
Dated: February 10, 2022

Parom Securities Limited
(CSE TREC No. 131)
House No.117, Road No.5, Block # B
Niketon, Gulshan-1 Dhaka-1212

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3236/2021/1312 dated December 30, 2021 based on which the hearing was conducted on February 07, 2022.

The Commission, considering your explanation vide letter dated 07.02.2022 has decided to dispose of the proceedings against Parom Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
10-02-2022

Rubel Hossain
Assistant Director (Enforcement)
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Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.