

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3064/2021/652
September 14, 2021

By Courier Service

Parom Securities Limited
CSE TREC Holder No.131
House No.117 (3rd floor)
Road No.05, Block-B
Niketon, Gulshan-1
Dhaka-1212

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3064/2021/513 dated August 16, 2021 based on which the hearing was conducted on September 02, 2021.

Considering the explanation vide letter No. dated September 02, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Parom Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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e-mail: yusuf@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O. to Executive Director (SRI), BSEC