

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3168/2021/1199

By Special Messenger

Dated: December 20, 2021

Peace Securities Limited
(DSE TREC No.159)
Room # 531, DSE Annex Building
9/E, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3168/2021/992 dated November 18, 2021 and subsequent correspondence, on which the hearing was conducted on December 06, 2021.

The Commission, considering your explanation vide letter dated December 06, 2021 has decided to dispose of the proceedings against Peace Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

20.12.21

Mahmuda Shireen

Deputy Director (Enforcement)

E-mail: shireen@sec.gov.bd

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Div (MIS)

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited