



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



BSEC/Enforcement/2938/2020/946

Dated: November 23, 2020

**By Special Messenger**

Pioneer Shares & Securities Limited  
(CSE TREC No. 034)

**Registered Address:**

Elias Brothers Market (2nd Floor),  
171/A, Momin Road, Anderkilla,  
Chittagong-4000.

**Attention:** Managing Director/CEO

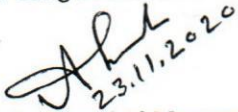
**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement /2938/2020/631 dated September 27, 2020 based on which the hearing was conducted on 19.10.2020.

The Commission, considering your explanation vide letter dated 19.10.2020 has decided to dispose of the proceedings against Pioneer Shares & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.


Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

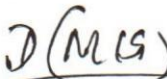
For Bangladesh Securities and Exchange Commission,


  
23.11.2020  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.

  
25.11.2020

  
D(MIS)

  
25.11.20

“মুজিববর্ষের অঙ্গীকার  
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

  
Mr. Gowr, A)