



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3359/2022/ ~~317~~ 317  
April 09, 2023

By Courier Service

**Premier Bank Securities Limited**  
Iqbal Center (3rd & 12th Floor)  
42, Kamal Ataturk Avenue  
Banani, Dhaka-1213

Attention: Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3359/2022/1443 dated November 13, 2022 based on which the hearing was conducted on November 20, 2022.

Considering the explanation submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Premier Bank Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

*Akram Siraj* 09.04.2023

**Akram Siraj**  
Assistant Director (Enforcement)  
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**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing director, DSE