



## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2631/2018/36

Dated: January 04, 2021

By Courier Service

Premier Bank Securities Limited  
DSE TREC NO. 236  
Iqbal Center (3<sup>rd</sup> & 12<sup>th</sup> Floor)  
42, Kamal Ataturk Avenue, Banani  
Dhaka- 1213

*R*  
*9.1.21*  
*Dwi (MIS)*

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2631/2018/1097 dated December 09, 2021 based on which the hearing was conducted on December 20, 2021.

The Commission, considering the explanation vide letter no. PBSL/HO/2021/766 dated December 19, 2021 which was submitted at the time of hearing, has decided to dispose of the proceedings against Premier Bank Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*Muhammad Sadequr Rahman Bhuiyan*  
*04.01.2021*

**Muhammad Sadequr Rahman Bhuiyan**  
**Assistant Director (Enforcement)**

**Copy for information:**

Chairman's Office, BSEC  
Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (SRI), BSEC  
~~Executive Director (MIS), BSEC~~