## Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3498/2023/278 Dated:March 21, 2024

By Special Messenger/Courier

Proming Lossing Securities Proking Limit

<u>Premier Leasing Securities Broking Limited</u> (TREC no. DSE 136)

Room # 220, DSE Annex Building (1st Floor), 9/E, Motijheel C/A, Dhaka-1000.

## Mr. Khaled Uddin Ahmed

Compliance Officer Premier Leasing Securities Broking Limited Room # 220, DSE Annex Building (1st Floor), 9/E, Motijheel C/A, Dhaka-1000.

## Mr. Ashis Kumar Paul

Accounts In charge Premier Leasing Securities Broking Limited Room # 220, DSE Annex Building (1st Floor), 9/E, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3498/2023/102**0** dated November 19, 2023 based on which the hearing was conducted on December 03, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 03.12.2023 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Mobile: 01515292195

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## Copy for information:

1. PS to Chairman, BSEC.

2.PO to Executive Director (SMMID), BSEC. With reference to memo no. BSEC/SMMI/DSE/Ins-84/2022/699 Dated: October 10, 2023.

3. PO to Executive Director (ICTD), BSEC.

4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/019/5298 Dated: September 20, 2023.