

## বাংলাদেশ সিকিউরিটিজ আভ এক্সচেঞ্চ কমিশন

সিকিউরিটিজ কমিশন ভবন ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭ www.sec.gov.bd



ND-I(MIS)

BSEC/Enforcement/2721/2019/ 93 6 Dated: November 01, 2021

By Special Messenger

Premier Leasing Securities Broking Limited (DSE TREC No. 136) Room # 220, DSE Annex Building (1st Floor), 9 /E, Motijheel C /A, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2721/2019/462 dated August 12, 2021 based on which the hearing was conducted on 26 August, 2021.

The Commission, considering your explanation vide letter dated 25 August, 2021 and subsequent letter dated 03 October, 2021 has decided to dispose of the proceedings against Premier Leasing Securities Broking Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Md Mahmudul Hasan

Assistant Director (Enforcement) Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.

2. PO to Executive Director (SRI), BSEC.

3. PO to Executive Director (MIS), BSEC

4. Managing Director, Dhaka Stock Exchange Ltd.

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"মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার"