Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3552/2024/476

Dated: May 23, 2024

01. Premier Leasing Securities Broking Limited (DSE TREC # 136), Room # 220, DSE Annex Building (1st Floor), 9/E, Motijheel C/A, Dhaka-1000.

02. Mr. Khaled Uddin Ahmed, Compliance Officer of Premier Leasing Securities Broking Limited, Room # 220, DSE Annex Building (1st Floor), 9/E, Motijheel C/A, Dhaka-1000.

03. Mr. Ashis Kumar Paul, Accounts In-Charge of Premier Leasing Securities Broking Limited, Room # 220, DSE Annex Building (1st Floor), 9/E, Motijheel C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3552/2024/289 dated 31 March 2024 based on which the hearing was conducted on 30 April 2024.

The Commission, considering your explanation vide letter ref. PSB/Accounts/BSEC/2024/0120 dated 29.04.2024 has decided to dispose of the proceedings against you/yours by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel Hossain

Assistant Director (Enforcement)

E-mail: <u>rubel@sec.gov.bd</u> Mobile: 01922-151361

Copy for information:

1. Chairman Office, BSEC.

2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/6451 dated 9th November 2023.

3. PO to Executive Director (ICTD), BSEC.4. Managing Director, Dhaka Stock Exchange PLC.