

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3511/2023/286

Dated: March 24, 2024

01. **Prilink Securities Limited (DSE TREC # 202)**, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000
02. **Dr. Md. Zahirul Islam**, Chairman & Compliance Authority of Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
03. **Dr. Md. Abu Syed Tito**, CEO & Managing Director of Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
04. **Mr. Abdullah Al Iqbal**, Compliance Officer of Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
05. **Mr. Mohammad Fakhrul Islam**, Branch In-Charge of Nikunja, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
06. **Mr. Mohammad Ariful Haque**, Compliance Officer of Nikunja Branch, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
07. **Mr. Md. Mamunur Rashid**, Employee and Trader of Nikunja Branch, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
08. **Mr. Mohammad Saiful Isla**, Employee and Trader of Nikunja Branch, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.

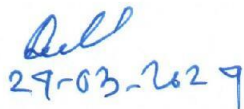
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3511/2023/160 dated 18 February 2024 based on which the hearing was conducted on 03 March 2024.

The Commission, considering your explanation vide letter ref. PSL/BSEC/Enforcement/2024/03 dated 03.03.2024 and submission of the required documents has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


29-03-2024

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE Letter ref. DSE/MCD/BSEC/2023/019/4878 dated 31 August 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.