Bangladesh Securities and Exchange Commission

Enforcement Division

Enforcement Department

Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3637/2024/663

By Special Messenger

Dated: August 13, 2024

- **01.** Prilink Securities Limited (DSE TREC No. 202), Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
- **02. Dr. Md. Zahirul Islam, Compliance Authority**, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
- 03. Mr. Md. Abdul Hannan, Branch in-charge, Dhanmondhi Branch, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
- **04.** Mr. Abdullah Al Iqbal, Compliance Officer, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.
- **05.** Mr. Md. Nurul Islam, Dhanmondi Branch Compliance Officer, Prilink Securities Limited, Unit # 11-C, City Centre (11th Floor) 103, Motijheel C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3637/2024/633 dated 25 July 2024 based on which the hearing was conducted on 11 August 2024.

The Commission, considering your explanation vide letter ref. PSL/BSEC/Enforcement/2024/04 dated 11.08.2024 has decided to dispose of the proceedings against you/your's by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Deel 13-08-2029

Rubel Hossain

Assistant Director (Enforcement)

E-mail: <u>rubel@sec.gov.bd</u> Mobile: 01922-151361

Copy for information:

- 1. Chairman Office, BSEC.
- 2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2024/019/2282 dated 8th April 2024.
- 3. PO to Executive Director (ICTD), BSEC.
- 4. Managing Director, Dhaka Stock Exchange PLC.