



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2053/2014/20

Dated: January 04, 2024

By Special Messenger

Prime Islami Securities Limited
(DSE TREC NO# 104)
Room#167, DSE Tower (Level-9),
Plot#46, Road#21, Nikunja-2, Dhaka-1229.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement//2053/2014/1271 dated October 03, 2022 based on which the hearing was conducted on October 16, 2022.

The Commission, considering your adjustment of irregularities vide letter dated 16.10.2022 has decided to dispose of the proceedings against Prime Islami Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

04.01.2024

Md. Mahmudul Hasan

Assistant Director (Enforcement)

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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.