



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3453/2023/1014

November 16, 2023

By Courier Service

Prime Islami Securities Limited
Room # 167, DSE Tower (Level-9),
Plot # 46, Road # 21, Nikunja-2, Dhaka-1229
Bangladesh.

Attention: Managing Director/CEO


Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3453/2023/537 dated July 19, 2023 based on which the hearing was conducted on August 06, 2023.

Considering the explanation vide letter No. PISL/BSEC_ENFORCEMENT/2023-12461 dated August 06, 2023 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Prime Islami Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Md. Amirul Islam
Assistant Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
- ✓ 3. P.O to Executive Director (ICTD), BSEC
4. P.O. to Executive Director (MIAD), BSEC
5. MD, DSE