

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3039/2021/421

Date: June 30, 2021

Prime Islami Securities Limited  
Room # 167, DSE Tower (Level-9),  
Plot # 46, Road # 21, Nikunja-2,  
Dhaka-1229

Kind Attention: Managing Director/ CEO

Subject: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3039/2021/357 dated May 30, 2021 based on which the hearing conducted on June 21, 2021.

The Commission, considering your explanation and submitted documents, has decided to dispose of the matter against you (Prime Islami Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
30.06.2021

Md. Sultan Salah Uddin  
Deputy Director (Enforcement)  
PABX: 88-02-55007131-2, Ext- 1318  
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Director (Enforcement), BSEC.