

**SEC/Enforcement/1140/2013/548**  
**Dated: September 16, 2013**

**By Special Messenger**

Prudential Capital Limited  
Unoos Trade Centre (Level-15)  
52-53 Dilkusha C/A  
Dhaka - 1000.

**Attention:** Managing Director/CEO

**Sub:** Non-compliance of securities related laws. **WARNING**

This refers to the Commission's show cause cum hearing notice No.SEC/Enforcement/1140/2013/444 dated July 16, 2013 based on which the hearing conducted on August 04, 2013.

The Commission, considering the explanation vide letter dated July 29, 2013 submitted at the time of hearing, has decided to dispose of the proceedings against Prudential Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

**Md. Hossain Khan**

Deputy Director

**Copy for information:**

Chief Executive Officer, Chittagong Stock Exchange Ltd.  
Commissioner (Enforcement), BSEC  
Executive Director (Surveillance), BSEC  
Executive Director (MIS), BSEC  
Chairman's Office