



BANGLADESH SECURITIES AND EXCHANGE COMMISSION
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar
Administrative Area, Dhaka1207, Bangladesh



BSEC/Enforcement/2455/2017/330
May 9, 2021

By Courier Service

Prudential Capital Ltd. (CSE TREC# 062)
Bo ID # 1204250037999816
City Center, Level-27, Room-C-1A
90/1, Motijheel C/A, Dhaka-1000

Subject: Review Petition against the Penal Order NO.: BSEC/Enforcement/2455/2017/698
Dated: October 01, 2020 to ensure compliance of all securities related laws in future

This refers to your letter dated 12 November 2020 regarding the above mentioned subject.

The Commission has considered the aforesaid review application and decided to reduce the penalty amount from Tk. 55.00 lac to Tk. 25.00 lac. The imposed penalty amount will be deposited through Pay order of any schedule bank in favour of the commission within 15 days of issuance of this letter.

For Bangladesh Securities and Exchange Commission-

Md. Saiful Islam
Joint Director
Email: mislam@sec.gov.bd
Ph. no. 55007145

Copy (for information & necessary action):

1. Chairman, Prudential Capital Ltd.;
2. P.O to Commissioner (Enforcement), BSEC;
3. P.O to Executive Director (Enforcement), BSEC;
4. P.O to Executive Director (MIS), BSEC; and
5. P.O to Director (Enforcement), BSEC.

“মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”