



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/3235/2021/413

Dated: May 16, 2023

Purabi Securities Limited
(CSE TREC Holder #087)
Kashfia Plaza (3rd Floor),
923/A Sk. Mujib Road
Agrabad, Chattogram-4100

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice no. **BSEC/Enforcement/3235/2021/575** dated **April 11, 2022** based on which the hearing was conducted on May 07, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated **May 07, 2023** has decided to dispose of the matter against Purabi Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

16.05.2023

Mohammed Abu Hena Mostafa

Assistant Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS)
4. M.D, DSE