



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2854/2019/26

Dated: 04 January, 2024

By Special Messenger

01. **Quayum Securities Limited**
(DSE TREC No. 38)
Room # 188, DSE Tower (Level-10),
Plot # 46, Road # 21, Nikunja-2, Dhaka-1229
02. **Mr. Nayeem Mr. Md. Quayum**
Managing Director/CEO
Quayum Securities Limited.
03. **Ms. Nur-E-Nadiya**
Compliance Authority
Quayum Securities Limited
04. **Md. Abdul Bari Palash**
GM and Compliance Officer of Head Office
Quayum Securities Limited
05. **Mr. Muhammad Hasanuzzaman**
Uttara Branch In-Charge
Quayum Securities Limited
06. **Mr. Md. Maniruzzaman**
Uttara Branch Compliance Office
Quayum Securities Limited
07. **Mr. Sourav Hosssain**
Trader (Authorized Representative)
Quayum Securities Limited
08. **Mr. Md. Mostafizur Rahman**
Trader (Authorized Representative)
Quayum Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2854/2019/1081, Date: 07 December, 2023 based on which the hearing was conducted on 24 December, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. QSL/ADMN/BSEC/293 dated December 24, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.



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Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

R
01.01.2024

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.