## Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department Market, Market Intermediaries and Other Affairs Section <a href="https://www.sec.gov.bd">www.sec.gov.bd</a>

BSEC/Enforcement/3526/2023/403 Dated: May 05, 2024

By Special Messenger

01. R. N. Trading Limited
(DSE TREC Holder No# 078)
Room # 416 & 418, Stock Exchange Building,
9/F, Motijheel C/A, Dhaka-1000.

02. Md. Musfiq Gouri
Compliance Officer
R. N. Trading Limited
(DSE TREC Holder No# 078)
Room # 416 & 418, Stock Exchange Building,
9/F, Motijheel C/A, Dhaka-1000.

03. Md. Sabbir Hussain
Accounts In-Charge
R. N. Trading Limited
(DSE TREC Holder No# 078)
Room # 416 & 418, Stock Exchange Building,
9/F, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3526/2023/246 dated March 18, 2024 based on which the hearing was conducted on March 24, 2024.

The Commission, considering your adjustment of irregularities vide your letter dated 24.03.2024 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Mobile: 01515292195

Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.

2.PO to Executive Director (SMMID), BSEC. With reference to the note dated: November 29, 2023.

4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/019/6451 Dated: November 09, 2023.

Securities Commission Bhaban, E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.