

Bangladesh Securities and Exchange Commission

By Courier Service

BSEC/Enforcement/2812/2019/429

Date: July 18, 2021

RAK Capital Limited
(CSE TREC Holder No.28)
C&F Tower (6th Floor),
1712 (New), SK Mujib Road,
Agrabad C/A, Chattogram.

Attention: Managing Director/CEO

Sub: Warning to ensure compliance of all Securities related law in future.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2929/2020/361** dated June 01, 2021 based on which the hearing was conducted on June 27, 2021.

The Commission, considering your explanation vide letter dated June 24, 2021 submitted at the time of hearing, has decided to dispose of the aforesaid show cause cum hearing notice against RAK Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Saiful Islam
Joint Director
Email: mislam@sec.gov.bd
Ph. no. 55007145

Copy for information:

- Executive Director (MIS), BSEC
- Executive Director (MIS), BSEC
- MD, Chittagong Stock Exchange
- Chairman's Office, BSEC

18.7.21

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Mr. Govt. DD