

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enf/3629/2024/ ৪০
Dated: January 14, 2025

By Courier Service

Rahman Mostafa Alam & Co.
Chartered Accountants
Paramount Heights (7th Floor-D2)
65/2/1, Purana Paltan
Dhaka-1000.

Subject: Warning to Ensure Compliance with All Securities-Related Laws in the Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3629/2024/853 dated October 31, 2024, based on which the hearing was scheduled and conducted on November 11, 2024.

The Commission, considering the explanation vide letter no. rma/ctg/2024/misc/234 dated November 7, 2024, which was submitted at the time of the hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities-related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,



Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (ICAD), BSEC
✓ Executive Director (ICTD), BSEC
Managing Director, Dhaka Stock Exchange Ltd.