



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

No.BSEC/Enforcement/2090/2014/479
November 30, 2016

By Courier Service

M/s Rahman Mostafa Alam & Co.
Chartered Accountants
Paramount Heights (7th Floor D2), 65/2/1. Box Culvert Road
Purana Patan, Dhaka-1000.


Sub: Non-compliance with securities related laws in connection with the utilization of IPO fund of Shurwid Industries Limited. Warning

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/2090/2014/413 dated June 5, 2016 based on which the hearing conducted on June 23, 2016

The Commission considering the explanation vide letter Ref: dated October 26, 2016 submitted at the time of hearing, has decided to dispose of the proceedings against you and others concerned by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from lawful responsibilities/obligations to any person, if affected, as a result of the said default.

For Bangladesh Securities and Exchange Commission


30/11/2016

(Mohammad Siddiquir Rahman)
Deputy Director (Enforcement)
Phone: 9573910

Copy for information:

Managing Director, Dhaka stock Exchange Ltd
Managing Director, Chittagong stock Exchange Ltd
Executive Director (CFD), BSEC
Executive Director (MIS), BSEC
Chairman's Office, BSEC