Bangladesh Securities and Exchange Commission **Enforcement Division** Enforcement Department Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3526/2023/408 Dated: May 05, 2024

- 01. Rapid Securities Ltd. (DSE TREC Holder No# 042) Room # 620 & 621, 9/E, Motijheel C/A, Dhaka-1000.
- 02. Mr. Hanif Bhuiya Managing Director Rapid Securities Ltd. (DSE TREC Holder No# 042) Room # 620 & 621, 9/E, Motijheel C/A, Dhaka-1000.
- 03. Mr. Zahir Uddin Md. Babur Compliance Officer Rapid Securities Ltd. (DSE TREC Holder No# 042) Room # 620 & 621, 9/E, Motijheel C/A, Dhaka-1000.
- 04. Mr. Md. Anisur Rahman Branch In-Charge (Uttara) Rapid Securities Ltd. (DSE TREC Holder No# 042)

By Special Messenger

Room # 620 & 621, 9/E, Motijheel C/A, Dhaka-1000.

- 05. Mr. Md. Kamran Shahriar Khan Compliance Officer (Uttara Branch) Rapid Securities Ltd. (DSE TREC Holder No# 042) Room # 620 & 621, 9/E, Motijheel C/A, Dhaka-1000.
- 06. Mr. Tofail Uddin Khan Employee (Uttara Branch) Rapid Securities Ltd. (DSE TREC Holder No# 042) Room # 620 & 621, 9/E, Motijheel C/A, Dhaka-1000.
- 07. Mr. Iftekhar Mahmud Employee (Uttara Branch) Rapid Securities Ltd. (DSE TREC Holder No# 042) Room # 620 & 621, 9/E, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3526/2023/252 dated March 18, 2024 based on which the hearing was conducted on March 24, 2024.

The Commission, considering your adjustment of irregularities vide your letter dated 20.03.2024 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if

For Bangladesh Securities and Exchange Commission,

Md. Mahmudul Hasan

Assistant Director (Enforcement)

Mobile: 01515292195

Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.

2.Po to Executive Director (SMMID), BSEC. With reference to the note dated: November 29, 2023. 3. PO to Executive Director (ICTD), BSEC.

4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/019/6451 Dated: