



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3421/2023/688

Dated: September 18, 2023

By Special Messenger

Rapid Securities Ltd. (DSE TREC No. 042)
Room # 620 & 621, 9/E
Motijheel C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3421/2023/265 dated March 14, 2023 based on which the hearing was conducted on March 27, 2023.

The Commission, considering your explanations vide letter ref. nill dated 23.03.2023 and 28.05.2023 has decided to dispose of the proceedings against Rapid Securities Ltd. (DSE TREC No. 042) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
18-09-2023

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (SMMI), BSEC; with reference to Inter Dept. Memo BSEC/SRI/DSE/Ins-85/2023/640 dated January 09, 2023.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.