



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3364/2022/113
Dated: December 24, 2023

By Special Messenger

Rashid Investment Services Limited
(DSE TREC Holder No# 035)
Room #601, Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3364/2022/820 dated October 29, 2023 based on which the hearing was conducted on November 12, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 12.11.2023 has decided to dispose of the proceedings against Rashid Investment Services Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

24.12.2023

Md. Mahmudul Hasan

Assistant Director (Enforcement)

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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference to letter memo no# BSEC/SRI/DSE-35/2023547 dated May 28, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.