

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2544/2018/ 467
Dated: March 15, 2022

By Courier Service

Rasti Securities Consultant Ltd. (DSE TREC NO. 217)
Diganta Tower (4th Floor, South Side), 12/1, R.K. Mission Road
Dhaka-1203

Attention: Managing Director/CEO

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2544/2018/366 dated February 23, 2022 based on which the hearing was conducted on March 07, 2022.

The Commission, considering the explanation vide letter no. Nil dated March 07, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Rasti Securities Consultant Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Managing Director, Dhaka Stock Exchange Ltd.