

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2378/2017/1128
Dated: December 12, 2021

By Special Messenger

Rasti Securities Consultant Limited
(DSE TREC holder no. 217)
Diganta Tower (4th Floor, South Side),
12/1, R.K. Mission Road,
Dhaka-1203.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.


This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2869/2020/964 dated November 11, 2021 based on which the hearing was conducted on November 22, 2021.

The Commission, considering your explanation vide letter dated 22.11.2021 has decided to dispose of the proceedings against Rasti Securities Consultant Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


12.12.2021
Md. Mahmudul Hasan
Assistant Director-iii (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd


26.12.2021
Dir (MIS)

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.