

## BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

Date: December 17 , 2017

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

## SEC/Enforcement/2277/2016/375

Razzak Securities Limited DSE Trecholder No.184 Room No.206 Stock Exchange Building 9/F,Motijheel C/A Dhaka-1000.

Attention: Managing Director/CEO

Subject: Disposal: Non-compliance with securities law in connection with share sale declaration

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no SEC/Enforcement/2277/2014/317 dated July 26, 2016 and the accused's explanation letter no. NIL dated August 03, 2016 submitted to the Commission.

The Commission considering the accused's explanation has decided to dispose off the proceedings against it

However, this disposal does not absolve Razzak Securities Limited from its lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited

Copy for information:

P.O to Commissioner (Enforcement), BSEC

P.O to Executive Director (SRMIC), BSEC

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Chairman's office, BSEC