



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

**BSEC/Enforcement/2651/2018/329**

**Dated: 17 June, 2020**

**By Special Messenger**

Reliance Brokerage Services Limited  
(DSE TREC No. 62 & CSE TREC No. 88)  
WW Tower, Level -14,  
68 Motijheel C/A,  
Dhaka-1000.

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2651/2018/502 dated November 26, 2018 based on which the hearing was conducted on December 12, 2018.

The Commission, considering your explanation vide letter ref. no. RBS/ACC/12-2018/1189 dated 12.12.2018 has decided to dispose of the proceedings against Reliance Brokerage Services Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.


Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
- ✓ 3. PO to Executive Director (MIS), BSEC.

  
23.06.2020  
23.06.20  
D (MIS)  
Mr. Geor, AD