



Bangladesh Securities and Exchange Commission

By Courier Service

BSEC/Enforcement/2812/2019/ 430

Date: July 18, 2021

Reliance Brokerage Services Ltd
(CSE TREC Holder No.88)
W.W Tower (Label-14)
68, Mothijheel C/A, Dhaka.

Attention: Managing Director/CEO

Sub: Warning to ensure compliance of all Securities related law in future.

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2812/2019/362** dated June 01, 2021 based on which the hearing was conducted on June 27, 2021.

The Commission, considering your explanation vide letter no. RBSL/MD/2021/238 dated June 27, 2021 submitted at the time of hearing, has decided to withdraw of the aforesaid show cause cum hearing notice against Reliance Brokerage Services Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Md. Saiful Islam
Joint Director
Email: mislam@sec.gov.bd
Ph. no. 55007145

Copy for information:

- ~~Executive Director (MIS), BSEC~~
- Executive Director (MSI), BSEC
- MD, Chittagong Stock Exchange
- Chairman's Office, BSEC

Bangladesh Securities and Exchange Commission

By Courier Service

BSEC/Enforcement/2812/2019/431

Date: July 18, 2021

Reliance Brokerage Services Ltd
(DSE TREC Holder No.62)
W.W Tower (Label-14)
68, Mothijheel C/A, Dhaka.

Attention: Managing Director/CEO

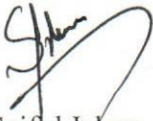
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- MD, Dhaka Stock Exchange
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