

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2709/2019/ 1026

By Special Messenger

Dated: November 25, 2021

Reliance Brokerage Services Limited  
(TREC Holder # 62)  
WW Tower, Level-14  
68, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2709/2019/819 dated October 7, 2021 based on which the hearing was conducted on October 25, 2021.

The Commission, considering your explanation vide letter dated 21.10.2021 has decided to dispose of the proceedings against Reliance Brokerage Services Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


  
25.11.2021

Md. Mahmudul Hasan  
Assistant Director-iii (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

06 DEC 2021

  
08/12/2021  
Director (MIS)  
DD-I (MIS)