

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3137/2021/821

Dated: June 01, 2022

Reliance Securities Consultant Ltd. (CSE TREC # 59)
923/A, Kashfia Plaza (Ground Floor), MCB
Sheikh Mujib Road, Agrabad, Chattogram.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3137/2021/660 dated April 25, 2022 based on which the hearing was conducted on May 26, 2022.

The Commission, considering your explanation letter dated 26.05.2022 has decided to dispose of the proceedings against Reliance Securities Consultant Ltd. (CSE TREC # 59) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
01-06-22

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MSID), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.