Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3503/2023/157

Dated: February 18, 2024

By Special Messenger

- **01.** Relief Exchange Limited (DSE TREC # 292), Rangs FC Enclave, Level-11 (B), Plot # 6/A, Road # 32, Gulshan Avenue, Dhaka-1212.
- **O2. Mr. Jakaria Khan, Compliance Officer**, Relief Exchange Limited (DSE TREC # 292), Rangs FC Enclave, Level-11 (B), Plot # 6/A, Road # 32, Gulshan Avenue, Dhaka-1212.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3503/2023/45 dated 23 January 2024 based on which the hearing was conducted on 11 February 2024.

The Commission, considering your explanation vide letter ref. REL/BSEC/11022024/87 dated 11.02.2024 and ref. REL/BSEC/11022024/88 dated 11.02.2024 has decided to dispose of the proceedings against Relief Exchange Limited (DSE TREC # 292) and Mr. Jakaria Khan, Compliance Officer of Relief Exchange Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel Hossain

Assistant Director (Enforcement)

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Copy for information:

18-02-2029

1. Chairman Office, BSEC.

2. PO to Executive Director (MIAD), BSEC; with reference to Inter Department Memo no. BSEC/IEID/Inspection/2023/33/120 dated 03 September 2023.

3. PO to Executive Director (ICTD), BSEC.

4. Managing Director, Dhaka Stock Exchange Limited.