"মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার"

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3067/2021/917
October 31, 2021

Remons Investment & Securities Limited
(CSE Member # 042)
City Centre (Floor # 13-C), Level-14
90/1, Motijheel C/A Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice BSEC/Enforcement/3067/2021/6782 dated October 04, 2021 based on which the hearing conducted on October 25, 2021.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. RISL/BSEC/2021_10/-2040/782 dated October 16, 2021 which was submitted at the time of hearing, has decided to dispose of the matter against you (Remons Investment & Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

31.10.2021

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Director (Enforcement), BSEC.