

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH-E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2460/2017/480 Dated: November 14, 2018

By Courier Service

Remons Investment & Securities Ltd. (DSE TREC # 108), City Centre- (Level 14), 90/1 Motijeel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: WARNING to ensure compliance of all securities related laws in future.

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2460/2017/396 dated October 21, 2018 based on which the hearing was scheduled on November 07, 2018.

The Commission, considering your explanation vide letter dated November 04, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Remons Investment & Securities Ltd. (DSE TREC # 108) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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Copy for information:

Commissioner (Enforcement), BSEC Executive Director (Surveillance), BSEC Executive Director (Law), BSEC Executive Director (MIS), BSEC Chairman's Office