



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



**BSEC/Enforcement/2991/2020**  
**Dated: March 11, 2021**

**By Special Messenger**

- (1) **Managing Director/CEO**  
Remons Investment and Securities Limited  
(DSE TREC Holder No. 108)  
City Centre (Floor # 13-C), Level-14,  
90/1, Motijheel C/A, Dhaka-1000;
- (2) **Mrs. Fouzia Afroz**  
Authorized Representative (Code: A10450 and B164)  
Remons Investment and Securities Limited; &
- (3) **Mr. Farzana Rahman**  
Authorized Representative (Code: 6127)  
Remons Investment and Securities Limited.

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement /2991/2020/1167 dated December 29, 2020 based on which the hearing was conducted on 11.01.2021.

The Commission, considering your explanation vide letter ref. No. RISL/HO/COMPLIANCE/2021/32 dated January 10, 2021 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

**Copy for information:**

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
- ✓ 3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

*o/c*