

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3604/2024/645
Dated: July 31, 2024

By Special Messenger

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| <p>01. Remons Investment and Securities Ltd.
(DSE TREC Holder No# 108)
City Centre (13th Floor), Unit # 13-C,
90/1, Motijheel C/A, Dhaka-1000.</p> <p>02. Mr. Md. Mofizuddin
Managing Director
Remons Investment and Securities Ltd.
(DSE TREC Holder No# 108)
City Centre (13th Floor), Unit # 13-C,
90/1, Motijheel C/A, Dhaka-1000.</p> | <p>03. Mr. Nafis Ahmed Mostafa
Compliance Authority
Remons Investment and Securities Ltd.
(DSE TREC Holder No# 108)
City Centre (13th Floor), Unit # 13-C,
90/1, Motijheel C/A, Dhaka-1000.</p> <p>04. Mr. Sohel Rana
CDBL In-Charge and IT In-Charge
Remons Investment and Securities Ltd.
(DSE TREC Holder No# 108)
City Centre (13th Floor), Unit # 13-C,
90/1, Motijheel C/A, Dhaka-1000.</p> |
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Attention: Managing Director/CEO/Alleged Person


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3604/2024/515 dated June 09, 2024 based on which the hearing was conducted on June 24, 2024.

The Commission, considering your adjustment of irregularities vide your letter dated 23.06.2024 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


31.07.2024

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference to the note dated: January 04, 2024.
- ~~3. PO to Executive Director (ICTD), BSEC.~~
4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/019/7306 Dated: December 19, 202

Securities Commission Bhaban, E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.