

SEC/Enforcement/1031/2012/450
November 15, 2012

By Special Messenger

Remons Investment and Securities Limited
DSE's Membership No. 108
Ismail Mansion (2nd floor)
Room No.207
9/H,Motijheel C/A
Dhaka-1000.

Fax No.9571003

Attention: Managing Director/CEO

Subject: WARNING: Non-compliance of Article 1, 2(1), 2(2) & 7 of Securities Exchange Act of 1993 of Bangladesh and G. P. K. K. (GK) Act, 1993 of Bangladesh and Securities Exchange Act, 1993.

This refers to SEC's show cause-cum-hearing notice SEC/Enforcement/1031/2012/408 dated August 08, 2012 and your explanation letter no. RISEL/2012/SEC dated September 24, 2012 submitted to the Commission.

The Commission, considering your promise to not to commit subject mentioned violation in future, has decided to dispose off the proceedings against the broker by placing on record the Commission's dissatisfaction on the defaults/contraventions made by it with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Remons Investment and Securities Limited (Stock Broker) from its lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited

Chief Executive Officer, Chittagong Stock Exchange Limited

Copy for information:

P.O to Member (Enforcement), SEC

P.O to Executive Director (Surveillance), SEC

P.O to Executive Director (MIS), SEC

Chairman's office, SEC